



2012 Regional Technical Assistance Job Aids



Tuesday, August 7, 2012

Risk Adjustment



RISK ADJUSTMENT SUBMISSION TIMETABLE

Payment Year (PY)	Model Run	Date Data Due for Inclusion in Model Run	Dates of Service Included in Model Run	Payment Date Following Model Run
2012	Initial	9/2/2011	7/1/2010 - 6/30/2011	January 2012
2012	Mid-Year	3/2/2012	1/1/2011 - 12/31/2011	July 2012
2012	Final Reconciliation	1/31/2013	1/1/2011 - 12/31/2011	August 2013
2013	Initial	9/7/2012	7/1/2011 - 6/30/2012	January 2013
2013	Mid-Year	3/1/2013	1/1/2012 - 12/31/2012	July 2013
2013	Final Reconciliation	1/31/2014	1/1/2012 - 12/31/2012	August 2014
2014	Initial	9/6/2013	7/1/2012 - 6/30/2013	January 2014
2014	Mid-Year	3/7/2014	1/1/2013 - 12/31/2013	July 2014
2014	Final Reconciliation	1/31/2015	1/1/2013 - 12/31/2013	August 2015



TIPS FOR AVOIDING DUPLICATE SUBMISSION

Tips	Description
Identify a Duplicate Diagnosis Cluster	CMS defines a Duplicate Diagnosis Cluster as one that shares all of the same attributes (HIC Number, Provider Type, From and Through Dates, and Diagnosis) as one previously submitted and stored in the RAPS database.
Review Reports	Review current and previous RAPS Return Files to determine which clusters RAPS stored. If RAPS stored the cluster, MA organizations should not resubmit.
Understand Error Resolution	<p>300-Level Errors Resubmit all clusters associated with the record; this would not create a duplicate diagnosis cluster because none of the records were previously stored.</p> <p>400-Level Errors Only resubmit the specific cluster that resulted in the 400-level error message. Do not resubmit all clusters within the record, only the clusters that contain errors.</p>
Understand Modifying Data	MA organizations should only resubmit the diagnosis clusters that require a modification.
Understand RAPS Processing	MA organizations should not delete a diagnosis code or record repeatedly on the same day and on the same record. MA organizations should implement a process to ensure that only one instance of a specific diagnosis cluster (either add or delete) is submitted on a given day.



RISK ADJUSTMENT FACTOR TYPE (RAFT) CODES/DEFAULT RISK FACTOR CODES CROSSWALK TO MODEL AND SEGMENT

RAFT/ Default Risk Factor Code	Description	Model	Segment
C	Community	CMS-HCC	Community
C1	Community Post-Graft I (ESRD), Months 4-9	CMS-HCC ESRD	Functioning Graft Community
C2	Community Post-Graft II (ESRD), 10+ Months	CMS-HCC ESRD	Functioning Graft Community
D	Dialysis (ESRD)	CMS-HCC ESRD	Dialysis
E	New Enrollee	CMS-HCC	New Enrollee
ED	New Enrollee Dialysis (ESRD)	CMS-HCC ESRD	Dialysis New Enrollee
E1	New Enrollee Post-Graft I (ESRD), Months 4-9	CMS-HCC ESRD	Functioning Graft New Enrollee
E2	New Enrollee Post-Graft II (ESRD), 10+ Months	CMS-HCC ESRD	Functioning Graft New Enrollee
G1	Graft I (ESRD), Month 1	CMS-HCC ESRD	Transplant
G2	Graft II (ESRD), Months 2-3	CMS-HCC ESRD	Transplant
I	Institutional	CMS-HCC	Institutional
I1	Institutional Post-Graft I (ESRD), Months 4-9	CMS-HCC ESRD	Functioning Graft Institutional
I2	Institutional Post-Graft II (ESRD), 10+ Months	CMS-HCC ESRD	Functioning Graft Institutional
SE	New Enrollee Chronic Care SNP	CMS-HCC	C-SNP New Enrollee (PY2011 and forward)
1	Default Enrollee - Aged/Disabled	CMS-HCC	New Enrollee
2	Default Enrollee - ESRD dialysis	CMS-HCC ESRD	Dialysis New Enrollee
3	Default Enrollee - ESRD Transplant Kidney, Month 1	CMS-HCC ESRD	Transplant
4	Default Enrollee - ESRD Transplant Kidney, Months 2-3	CMS-HCC ESRD	Transplant
5	Default Enrollee - ESRD Post Graft, Months 4-9	CMS-HCC ESRD	Functioning Graft New Enrollee
6	Default Enrollee - ESRD Post Graft, 10+ Months	CMS-HCC ESRD	Functioning Graft New Enrollee
7	Default Enrollee Chronic Care SNP	CMS-HCC	C-SNP New Enrollee (PY2011 and forward)



PART C NORMALIZATION AND CODING INTENSITY FACTORS

Payment Year	Model/Segment	Normalization Factor	Coding Intensity Adjustment	Announcement Year for Relative Factors
2011	CMS-HCC	1.058	0.0341	2009
2011	CMS-HCC C-SNP New Enrollee	1.058	0.0341	2011
2011	CMS-HCC ESRD Dialysis	1.06	N/A	2008
2011	CMS-HCC ESRD Transplant	1.06	N/A	2008
2011	CMS-HCC ESRD Functioning Graft	1.088	0.0341	2008
2012	CMS-HCC	1.079	0.0341	2009
2012	CMS-HCC PACE	1.051	0.0341	2012
2012	CMS-HCC C-SNP New Enrollee	1.079	0.0341	2011
2012	CMS-HCC ESRD Dialysis	1.012	N/A	2012
2012	CMS-HCC ESRD Transplant	1.012	N/A	2012
2012	CMS-HCC ESRD Functioning Graft	1.051	0.0341	2012
2013	CMS-HCC	1.028	0.0341	2013
2013	CMS-HCC PACE	1.07	0.0341	2012
2013	CMS-HCC C-SNP New Enrollee	1.028	0.0341	2013
2013	CMS-HCC ESRD Dialysis	1.023	N/A	2012
2013	CMS-HCC ESRD Transplant	1.023	N/A	2012
2013	CMS-HCC ESRD Functioning Graft	1.07	0.0341	2012

PART D RISK ADJUSTMENT FACTOR CODES

Part D RA Factor	Description
D1	Community Non-Low Income Continuing Enrollee
D2	Community Low Income Continuing Enrollee
D3	Institutional Continuing Enrollee
D4	New Enrollee Community Non-Low Income Non-ESRD
D5	New Enrollee Community Non-Low Income ESRD
D6	New Enrollee Community Low Income Non-ESRD
D7	New Enrollee Community Low Income ESRD
D8	New Enrollee Institutional Non-ESRD
D9	New Enrollee Institutional ESRD

PART D RXHCC NORMALIZATION FACTORS AND ANNOUNCEMENT YEARS

Payment Year	Normalization Factor	Announcement Year for Relative Factors
2011	1.029	2011
2012	1.031	2012
2013	1.034	2013